

Tax Document2020_1

APEX CLEARING Miscellaneous Income - Details of Form 1099-MISC 2020
 SMV18603 01/04/2021

The section of the information statement provides payment-level details for royalty distributions and substitute payments to stockholders and interest that are reported in aggregate on Form 1099-MISC. Links to tax information booklets for royalty trusts relevant to your account, if any, are provided at the end of this information statement.

Description CUSIP Symbol	Royalties (Box 2)	Other Income (Box 3)	Substitute Payments in Lieu of Dividends or Interest (Box 4)	Federal Income Tax Withheld (Box 5)	State Tax Withheld (Box 6)	State Identification No. (Box 7)	State (Box 13)
REGIONS FINANCIAL CORP CUSIP 75619F100 Symbol RF	0.00	10.00	0.00	0.00	0.00		
BANCO SANTANDER B A SPONSORED ADR CUSIP 300844106 Symbol SAN	0.00	0.47	0.00	0.00	0.00		
TOTAL:	0.00	10.47	0.00	0.00	0.00		

W/A

1099-DIV Instructions for Recipient
 This document provides information about the information reported on this Form 1099-DIV. It includes instructions for the recipient of the form, including how to report the information on your tax return. It also includes information about the issuer of the form, including how to report the information on their tax return.

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APEX CLEARING ONE DALLAS CENTER 300 NORTH BT PARK, SUITE 1300 DALLAS, TX 75201 Customer Service 214-798-1008 PAYER'S Federal ID No: 13-2987433 IN ACCOUNT WITH WELLS FINANCIAL LLC (917) 725-9448	Account 5MY16603	Form 1099 Composite 2020
	JOHN LUTHER STEELE 1541 MAE VALLEY ROAD NORTHEAST MOBEES LAKE, WA 98037-9998	Statement Date: 01/24/2021

FATCA Reg. requirement [1]

RECIPIENT'S ID No: XXX-XX-9271

Tax Reporting Statement

DIVIDENDS AND DISTRIBUTIONS 2020 Form 1099-DIV*		INTEREST INCOME 2020 Form 1099-INT*	
OMB No. 1545-0047	OMB No. 1545-0047	OMB No. 1545-0047	OMB No. 1545-0047
1a- Total Ordinary Dividends (includes amount shown on 109)	0.00	1- Interest Income	14.95
1b- Qualified Dividends	0.00	2- Early Withdrawal Penalty	0.00
2a- Total Capital Gain Distributions (includes lines 2b, 2c, 2d)	0.00	3- Interest on U.S. Savings Bonds & Treasury Obligations	0.00
2b- Unrecaptured Section 1223 Gain	0.00	4- Federal Income Tax Withheld	0.00
2c- Section 1223 Gain	0.00	5- Investment Expenses	0.00
2d- Collectibles (28%) Gain	0.00	6- Foreign Tax Paid	0.00
3- Nondividend Distributions	0.00	7- Foreign Country or U.S. Possession	0.00
4- Federal Income Tax Withheld	0.00	8- Tax-Exempt Interest (includes box 9)	0.00
5- Section 199A Dividends	0.00	9- Specified Private Activity Bond Interest	0.00
6- Investment Expenses	0.00	10- Market Discount	0.00
7- Foreign Tax Paid	0.00	11- Bond Premium	0.00
8- Foreign Country or U.S. Possession	0.00	12- Bond Premium on Treasury Obligations	0.00
9- Cash Liquidation Distributions	0.00	13- Bond Premium on Tax-Exempt Bonds	0.00
10- Non-cash Liquidation Distribution	0.00	14- Tax-Exempt and Tax-Credit Bond CUSIP No.	0.00
11- Exempt-Interest Dividends	0.00		
12- Specified Private Activity Bond Interest Distributions	0.00		

MISCELLANEOUS INCOME 2020 Form 1099-MISC*		REGULATED FUTURES CONTRACTS & SECTION 1256 OPTIONS 2020 Form 1099-B*	
OMB No. 1545-0047	OMB No. 1545-0047	OMB No. 1545-0715	OMB No. 1545-0715
1- Royalties	0.00	2- Profit or (loss) realized in 2020 on closed contracts	0.00
3- Other Income	13.33	9- Unrealized profit or (loss) on open contracts - 1/31/2019	0.00
4- Federal Income Tax Withheld	0.00	10- Unrealized profit or (loss) on open contracts - 12/31/2020	0.00
5- Substitute Payments in Lieu of Dividends or Interest	0.00	11- Aggregate profit or (loss) on contracts	0.00

*As applicable, proceeds from other sale transactions are summarized below and details are provided in subsequent sections of this document. More details on Forms and section 1256 options transactions are also provided in other sections of this document.

***We do not report tax information that is being furnished to the Internal Revenue Service. If you are required to file a return, it is your responsibility to provide us with the information that we need to report to the IRS. If you have any questions, please contact your broker or the IRS. We are not responsible for the accuracy of the information you provide to us. We do not report tax information that is being furnished to the Internal Revenue Service. If you are required to file a return, it is your responsibility to provide us with the information that we need to report to the IRS. If you have any questions, please contact your broker or the IRS. We are not responsible for the accuracy of the information you provide to us.

APEX CLEARING Tax Summary 2020 5MY16603 01/24/2021

Summary Of Sale Proceeds

Proceeds from sales of securities are reported individually by trade to the Internal Revenue Service. Please refer to the Proceeds from Broker and Barter Exchange Transactions details section to determine correct amounts to include in your tax return. The summary transaction amounts shown below are for informational purposes.

Section	Total Proceeds	Total Cost Basis	Market Discount	Total Wash Sale Loss Disallowed	Total Net Gain or Loss(1)
Short-term transactions for covered tax lots	1,852.81	2,264.14	0.00	0.00	-511.33
Short-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Short-term	1,852.81	2,264.14	0.00	0.00	-511.33
Long-term transactions for covered tax lots	0.00	0.00	0.00	0.00	0.00
Long-term transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined transactions for noncovered tax lots	0.00	0.00	0.00	0.00	0.00
Total Undetermined-term	0.00	0.00	0.00	0.00	0.00

ORIGINAL ISSUE DISCOUNT SUMMARY

Original Issue Discount (Non-US Treasury Obligations)	0.00
Original Issue Discount (on U.S. Treasury Obligations)	0.00
Other Periodic Interest	0.00
Market Discount - Covered Positions	0.00
Acquisition Premium - Covered Positions	0.00
Bond Premium - Covered Positions	0.00
Tax-Exempt OID - Covered Positions	0.00
Tax-Exempt OID - Specified Private Activity Bonds - Covered Positions	0.00
Investment Expenses	0.00

Amounts shown in this section are summary totals for your reference. For bond-by-bond detail, use the Form 1099-OID section of this document.

FEDERAL TAX WITHHELD

Form	Federal Income Tax Withheld
1099-B Total (aggregate)	0.00
1099-DIV Total	0.00
1099-INT Total	0.00
1099-MISC Total	0.00
1099-OID Total	0.00

APEX CLEARING Proceeds from Broker and Barter Exchange Transactions 2020 Form 1099-B 5MY16603 01/24/2021

The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B - Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security for the sale (short-term vs long-term) and whether the security is considered a "covered security" for tax reporting purposes. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS); whereas for noncovered securities, cost basis is set forth below in provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column "Additional Notes," cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers we are only required to apply wash sales loss rules to covered and noncovered securities sold within the same account. We are also not required to apply wash sales rules to covered and noncovered securities. You as the taxpayer however, generally, have broader obligations under the wash sales rules to determine disallowed losses and

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The following information is being provided to assist in your review and the preparation of your tax return.

This Form 1099-B - Proceeds from Broker and Barter Exchange Transactions provides information relating to sales of securities in your account. The sales details are organized into potentially five separate sections depending on your holding period in the security, the sale (short-term vs long-term) and whether the security is considered a "covered security" for tax reporting purposes. For covered securities, cost basis (adjusted as required under tax regulations) is being reported to the Internal Revenue Service (IRS), whereas for noncovered securities, cost basis is set forth below as provided only for your information.

A separate category is provided for sales of securities for which we do not know your holding period. For such tax lots, you will need to use your historical documents to determine your holding period (whether short-term or long-term) and your tax basis.

Unless noted otherwise under the column "Additional Notes," cost basis and corresponding gain or loss is determined by treating tax lots acquired first as being sold first. We have also assumed that you have made an election to amortize premium on the purchase of taxable bonds.

As brokers, we are only required to apply wash sales loss disallowance rules to securities with the same CUSIP or identifier and purchased and sold within the same account. We are also not required to apply wash sales rules across covered and noncovered securities. You as the taxpayer, however, generally have broader obligations under the wash sales rules to determine disallowed losses, and you may wish to consult with a tax adviser as to the application of such rules to you.

Sales of certain contingent payment debt instruments give rise to ordinary income rather than short-term or long-term capital gain or loss. Such ordinary income treatment is indicated in the Additional Notes column as "Box 2 - Ordinary." In addition, certain assets classified as collectibles are subject to special tax treatment. Any such classification is noted in the Additional Notes column as "Box 3 - Collectibles (Box Checked)." Loss disallowed for certain transactions involving changes in control or capital structure is noted in the Additional Notes column as "Box 7 - Loss Disallowed (Box Checked)." Disposition of a QOP investment is noted in the Additional Notes column as "Box 8 - QOP."

Information in the transaction detail sections below that have a Box number is being transmitted to the IRS, whereas additional information that is not being transmitted to the IRS is not headed by a Box number. Such additional information may be helpful to you in the preparation of your tax return. Remember that taxpayers are ultimately responsible for the accuracy of their tax returns.

FATCA filing requirement: []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS

Report on Form 1041, Part 1 with Box A checked.

Box 2: Type of Gain or Loss: Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Not Checked (Covered Security)

Box 6: Gross (unless indicated as Net in Additional Notes Column)

Box 12: Basis Reported to the IRS

The (000-0) data referenced by a Box Number is reported in the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to you in preparing your return.

1a - Description of Property (CUSIP) Symbol

1a - Date Sold or Disposed	Quantity	1d - Proceeds	1b - Date Acquired	1c - Cost or Other Basis	11 - Adjusted Market Discount (M & I) - Wash Sale Loss (M & I)	Gain or Loss (c)	Additional Notes
12/17/2020	191.00	842.44	06/26/2020	898.33	0.00	154.42	Gain
GENIUS BRANDS INTERNATIONAL INC COMMON STOCK CUSIP: 3729273H1 Symbol: GENIUS							
10/17/2020	180.00	168.99	06/09/2020	423.00	0.00	254.01	Loss
AMERICAN DEPOSITORY SHARES ECH PPRINTING ONE CL A DRD SHARE CUSIP: 30214V108 Symbol: NIO							
12/17/2020	16.00	813.38	11/20/2020	842.21	0.00	99.83	Gain
Totals:		1,864.81		2,364.14		-511.33	

This is important information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are ultimately responsible for the accuracy of their tax returns.

This section of the information statement provides the payment-level details for interest that is reported in aggregate on Form 1099-INT. Market discount or premium is provided only if you have made an election to recognize market discount on a current basis. Any such market discount and bond premium are reported on Form 1099-INT for covered lots, as detailed below. For noncovered lots, bond premium and market discount are shown below only for your information and are not reported on Form 1099-INT.

Interest Income

Description | CUSIP | Symbol

Date	Interest Income (Box 1)	Federal Income Tax Withheld (Box 4)	Investment Expenses (Box 5)	Foreign Tax Paid (Box 6)	Market Discount (Box 10)	Market Discount (NOT reported to IRS)	Bond Premium (Box 11)	Bond Premium (NOT reported to IRS)	State Tax Withheld (Box 12)	State (Box 13) State Identification No. (Box 14)
FULLY PAID LENDING REBATE CUSIP Symbol										
07/14/2020	2.53	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
08/12/2020	1.99	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
08/14/2020	1.32	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
10/14/2020	2.06	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
11/11/2020	3.01	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
12/11/2020	4.02	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
Security Totals:	14.93	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	
Totals:	14.93	0.00	0.00	0.00	0.00	0.00	0.00	0.00	0.00	

W/S

This section of the information statement provides payment-level details for royalty, distributions and substitute payments in lieu of dividends and interest that are reported in aggregate on Form 1099-MISC. Links to tax information booklets for royalty trusts relevant to your account, if any, are provided at the end of this information statement.

Description | CUSIP | Symbol

Date	Royalties (Box 2)	Other Income (Box 3)	Substitute Payments in Lieu of Dividends or Interest (Box 8)	Federal Income Tax Withheld (Box 4)	State Tax Withheld (Box 12)	State (Box 13) State Identification No. (Box 14)
REWARDS FINANCIAL CORP CUSIP: 7361EP189 Symbol: RE						
08/23/2020	0.00	10.00	0.00	0.00	0.00	
BANCO SANTANDER S A SPONSORED ADR CUSIP: 02964H103 Symbol: SAN						
06/17/2020	0.00	2.47	0.00	0.00	0.00	
Totals:	0.00	12.47	0.00	0.00	0.00	

Ameritrade <small>Member Fidelity</small> PO BOX 2209 OMAHA, NE 68103-2209 Client Services: 800-669-3900	Tax Information Account 454956652 JOHN STEELE 1841 MAE VALLEY RD NE MOSES LAKE, WA 98837-9595	Statement Date: 01/14/2021 Document ID: MZS6 161 B415 2020
PAYER'S TIN: 47-0533629 RECIPIENT'S TIN: XXX-XX-5031		

1 FATCA filing requirement (see instructions)

DIVIDENDS AND DISTRIBUTIONS 2020 1099-DIV* OMB No. 1545-0110 **MISCELLANEOUS INCOME** 2020 1099-MISC* OMB No. 1545-0115

1a- Total ordinary dividends (includes lines 1b, 5)	0.00	
1b- Qualified dividends	0.00	2- Royalties
2a- Total capital gain distributions (includes lines 2b, 2c, 2d)	0.00	3- Other income
2b- Unrecaptured Section 1250 gain	0.00	4- Federal income tax withheld
2c- Section 1202 gain	0.00	8- Substitute payments in lieu of dividends or interest
2d- Collectibles (28%) gain	0.00	
3- Nondividend distributions	0.00	SECTION 1256 CONTRACTS 2020 1099-B* OMB No. 1545-0715
4- Federal income tax withheld	0.00	8- Profit or (loss) realized in 2020 on closed contracts
5- Section 199A dividends	0.00	9- Unrealized profit or (loss) on open contracts-12/31/2019
6- Investment expenses	0.00	10- Unrealized profit or (loss) on open contracts-12/31/2020
8- Foreign country or US possession:	0.00	11- Aggregate profit or (loss) on contracts
7- Foreign tax paid:	0.00	
9- Cash liquidation distributions	0.00	
10- Noncash liquidation distributions	0.00	
11- Exempt-interest dividends (includes line 12)	0.00	
12- Specified private activity bond interest dividends (AMT)	0.00	

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* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

SUMMARY OF PROCEEDS, GAINS & LOSSES, ADJUSTMENTS AND WITHHOLDING

Refer to the 1099-B and Proceeds not reported to the IRS pages to ensure that you consider all relevant items and to determine the correct gains and losses. The amounts shown below are for informational purposes.

Term	Form 8949 type	Proceeds	Cost basis	Market discount	Wash sale loss disallowed	Net gain or loss(-)
Short	A (basis reported to the IRS)	215,710.87	199,571.87	0.00	14,126.18	30,265.18
Short	B (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Short	C (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Short-term	215,710.87	199,571.87	0.00	14,126.18	30,265.18
Long	D (basis reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Long	F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Long-term	0.00	0.00	0.00	0.00	0.00
Undetermined	B or E (basis not reported to the IRS)	0.00	0.00	0.00	0.00	0.00
Undetermined	C or F (Form 1099-B not received)	0.00	0.00	0.00	0.00	0.00
	Total Undetermined-term	0.00	0.00	0.00	0.00	0.00
	Grand total	215,710.87	199,571.87	0.00	14,126.18	30,265.18
	Withholding					
	Federal income tax withheld	Amount				
		0.00				

Changes to dividend tax classifications processed after your original tax form is issued for 2020 may require an amended tax form.

TD Ameritrade Clearing, Inc.

Account 454956652

Summary Information

2020

(continued)

01/14/2021

INTEREST INCOME 2020 1099-INT

OMB No. 1545-0112

This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported.

1- Interest income (not included in line 3)	0.12
2- Early withdrawal penalty	0.00
3- Interest on US Savings Bonds & Treasury obligations	0.00
4- Federal income tax withheld	0.00
5- Investment expenses	0.00
7- Foreign country or US possession: 6- Foreign tax paid:	0.00
8- Tax-exempt interest (includes line 9)	0.00
9- Specified private activity bond interest (AMT)	0.00
10- Market discount (covered lots)	0.00
11- Bond premium (covered lots)	0.00
12- Bond premium on Treasury obligations (covered lots)	0.00
13- Bond premium on tax-exempt bonds (categorized below)	0.00
Tax-exempt obligations (covered lots)	0.00
Tax-exempt private activity obligations (AMT, covered lots)	0.00
14- Tax-exempt and tax credit bond CUSIP number	See detail

The following amounts are not reported to the IRS. They are presented here for your reference when preparing your tax return(s).

Taxable accrued interest paid	0.00
Taxable accrued Treasury interest paid	0.00
Tax-exempt accrued interest paid	0.00
Tax-exempt accrued interest paid (AMT)	0.00
Taxable accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid	0.00
Tax-exempt accrued nonqualified interest paid (AMT)	0.00
Nonqualified interest	0.00
Tax-exempt nonqualified interest	0.00
Tax-exempt nonqualified interest (AMT)	0.00
Interest shortfall on contingent payment debt	0.00
Bond premium- Non Treasury obligations (noncovered lots)	0.00
Bond premium- Treasury obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (noncovered lots)	0.00
Bond premium- Tax-exempt obligations (AMT, noncovered lots)	0.00
Market discount (noncovered lots)	0.00

STATE TAX WITHHELD

Use the details of the State Tax Withholding page(s) to determine the appropriate amounts for your income tax return(s). The amounts shown in this section are for your reference.

1099-DIV total withheld	0.00
1099-INT total withheld	0.00
1099-OID total withheld	0.00
1099-MISC total withheld	0.00
1099-B total withheld	0.00

ORIGINAL ISSUE DISCOUNT AND ADJUSTMENTS

Use bond-by-bond details from the Form 1099-OID page(s) to determine amounts of Original Issue Discount income for your income tax return(s). The amounts shown in this section are for your reference when preparing your income tax return(s).

Original issue discount for the year	0.00
Acquisition premium (covered lots)	0.00
Acquisition premium (noncovered lots)	0.00
Original issue discount on Treasury obligations	0.00
Acquisition premium, Treasury obligations (covered lots)	0.00
Acquisition premium, Treasury obligations (noncovered lots)	0.00
Tax-exempt OID	0.00
Tax-exempt OID (lots not reported)	0.00
Acquisition premium (covered)	0.00
Acquisition premium (lots not reported)	0.00
Tax-exempt OID on private activity bonds	0.00
Tax-exempt OID on private activity bonds (lots not reported)	0.00
Acquisition premium (AMT, covered)	0.00
Acquisition premium (AMT, lots not reported)	0.00
Market discount (all lots)	0.00
Early withdrawal penalty	0.00
Investment expenses	0.00

RECONCILIATIONS, FEES, EXPENSES AND EXPENDITURES

The amounts in this section are not reported to the IRS. They are presented here for your reference when preparing your income tax return(s).

Other Receipts & Reconciliations- Partnership distributions	0.00
Other Receipts & Reconciliations- Foreign tax paid- partnership	0.00
Other Receipts & Reconciliations- Return of principal	0.00
Other Receipts & Reconciliations- Deferred income payment	0.00
Other Receipts & Reconciliations- Deemed premium	0.00
Other Receipts & Reconciliations- Income accrual- UJT	0.00
Other Receipts & Reconciliations- Basis adjustments	0.00
Other Receipts & Reconciliations- Foreign tax pd beyond treaty	0.00
Fees & Expenses- Margin interest	0.00
Fees & Expenses- Dividends paid on short position	0.00
Fees & Expenses- Interest paid on short position	0.00
Fees & Expenses- Non reportable distribution expense	0.00
Fees & Expenses- Other expenses	0.00
Fees & Expenses- Severance tax	0.00
Fees & Expenses- Organizational expense	0.00
Fees & Expenses- Miscellaneous fees	0.00
Fees & Expenses- Tax-exempt investment expense	0.00
Foreign Exchange Gains & Losses- Foreign currency gain/loss	0.00

Handwritten initials

TD Ameritrade Clearing, Inc. Account 454956652
2020 1099-B* OMB No. 1545-0715 01/14/2021

Proceeds from Broker and Barter Exchange Transactions

Sales transactions are grouped by their term (long, short or undetermined) and covered status (covered or noncovered). For tax lots whose term is undetermined, use your historical documents to establish the cost basis and date of purchase. Tax lots with an additional notation of "Ordinary" represent neither short- nor long-term capital transactions. You may wish to consult with your tax advisor, the IRS or your state tax authority regarding the proper treatment.

Closing of written options is presented in a distinct manner in accordance with IRS regulation. For these transactions the Cost or other basis (column 1e) is always presented as \$0.00 and the Proceeds (column 1d) is the net of proceeds received when the option was written and the cost to close the position.

Several column headings describe two related items: a quantity and a qualifier. For example, proceeds and, if the sale is the result of an option exercise or assignment, whether the proceeds are gross or net (adjusted for any option premium). When these conditions exist, the qualifier is shown to the right of the amount.

Long positions are reported based on the trade date and short positions are reported based on the settlement date of the trade.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)
 Report on Form 8949, Part 1 with Box A checked. Basis is provided to the IRS. (Line 12)
 *Gain or loss (-) is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol	1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)/ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Additional information
BEYOND MEAT INC COM / CUSIP: 08862E109 / Symbol: BYND								
	05/29/20	7,000	898.01	05/07/20	889.07	...	8.94	Sale
	11/20/20	27,000	3,651.13	11/19/20	3,755.30	...	-104.17	Sale
		Security total:	4,549.14		4,644.37	...	-95.23	
BLINK CHARGING CO COM / CUSIP: 09354A100 / Symbol: BLNK								
	11/25/20	17,000	435.53	11/23/20	513.74	...	-78.21	Sale
HEMPSTRACK INC COM / CUSIP: 42470Q105 / Symbol: HPST								
	11/17/20	12,106,000	1,543.18	Various	15,667.06	14,123.88 W	0.00	Total of 3 transactions
	11/23/20	32,758,000	7,436.13	Various	23,630.65	...	-16,194.52	Total of 7 transactions
		Security total:	8,979.31		39,297.71	14,123.88 W	-16,194.52	
LIFEVANTAGE CORPORATION COM / CUSIP: 53222K205 / Symbol: LFVN								
	06/01/20	64,000	964.13	05/29/20	982.75	...	-18.62	Sale
NIO INC ADR / CUSIP: 62914V106 / Symbol: NIO								
	07/22/20	323,000	3,998.61	Various	1,795.08	...	2,203.53	Sale
	11/16/20	647,000	29,136.41	Various	6,130.55	...	23,005.86	Sale
	11/20/20	926,000	45,681.33	Various	43,940.64	...	1,740.69	Sale
	11/24/20	182,000	9,970.63	11/20/20	8,997.15	...	973.48	Sale
	11/25/20	906,000	48,268.42	Various	45,752.70	...	2,515.72	Sale
		Security total:	137,055.40		106,616.12	...	30,439.28	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.
 Account 4549566652
2020 1099-B* OMB No. 1545-0715
Proceeds from Broker and Barter Exchange Transactions
 (continued) 01/14/2021

SHORT TERM TRANSACTIONS FOR COVERED TAX LOTS [Ordinary gains or losses are identified in the Additional information column] (Lines 2 & 5)
 Report on Form 8949, Part I with Box A checked. Basis is provided to the IRS. (Line 12)
 *Gain or loss (-) is NOT reported to the IRS.

1a- Description of property/CUSIP/Symbol	1c- Date sold or disposed	Quantity	1d- Proceeds & 6- Reported (G)/ross or (N)et	1b- Date acquired	1e- Cost or other basis	1f- Accrued mkt disc (D) & 1g- Wash sale loss disallowed (W)	7- Loss not allowed (X) also not reported (Z)	Gain or loss(-) & Loss not allowed (X) also not reported (Z)	Additional Information
RIOT BLOCKCHAIN INC COM / CUSIP: 767292105 / Symbol: RIOT									
	11/17/20	6,394,000	35,038.04	Various	26,211.84	8,826.20	Total of 3 transactions
	11/20/20	2,100,000	12,494.68	11/19/20	11,860.21	634.47	Sale
		Security total:	47,532.72		38,072.05	9,460.67	
RIVERDALE OIL & GAS N/C 11/9/20 42470Q105 / CUSIP: 768692105 / Symbol:									
	06/23/20	11,482,000	10,599.04	Various	3,969.03	6,630.01	Total of 2 transactions
	06/25/20	3,850,000	3,796.12	Various	3,584.32	121.80	Total of 4 transactions
	06/25/20	2,032,000	1,889.48	06/24/20	1,891.78	2.30 W	...	0.00	Sale
		Security total:	16,194.64		9,445.13	2.30 W	...	6,751.81	
Totals :			215,710.87		199,571.87	14,126.18 W	...	30,265.18	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Remember, taxpayers are ultimately responsible for the accuracy of their tax return(s).

TD Ameritrade Clearing, Inc.

Account 454956652

Detail for Interest Income

2020

01/14/2021

This section of your tax information statement contains the payment level detail of taxable interest and associated bond premium. Market discount will be shown here only if you have elected to recognize it currently rather than at the time of sale or maturity. Bond premium and market discount for covered tax lots are totaled on Form 1099-INT and reported to the IRS. For noncovered tax lots, they are totaled in the Adjustments to Interest and Original Issue Discount and are not reported to the IRS.

To provide a complete picture of activity for each investment, we also include here nonreportable transactions such as accrued interest paid on purchases and payment or receipt of nonqualified interest. Other amounts, such as federal, state and foreign tax withheld and investment expenses are shown as negative amounts but do not net against the reportable income totals.

Security description	CUSIP and/or symbol	Date	Amount	Transaction type	Notes
Interest Credited		11/30/20	0.03	Credit interest	
		12/31/20	0.01	Credit interest	
			0.04	Total Interest	
FDIC INSURED DEPOSIT ACCOUNT CORE NOT COVERED BY SIPC	9ZZZFD104 MMDA1	05/31/20	0.01	Interest- money mkt	
		06/30/20	0.01	Interest- money mkt	
		07/31/20	0.01	Interest- money mkt	
		11/30/20	0.05	Interest- money mkt	
			0.08	Total Interest	
			0.12	Total Interest	

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup withholding. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Nonresidents. If this 1099 form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OLD) Copy A (with a Form 1099) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2019 General Instructions for Certain Information Returns.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Keep tax documents for your records.

1099-INT Instructions for Recipient

The information provided may be different for covered and noncovered securities. For a description of covered securities, see the Instructions for Form 8949. For a taxable covered security acquired at a premium, unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize the premium under section 171, or for a tax-exempt covered security acquired at a premium, your payer generally must report either (1) a net amount of interest that reflects the offset of the amount of interest paid to you by the amount of premium amortizable to the payment(s), or (2) a gross amount for both the interest paid to you and the premium amortizable to the payment(s). If you did notify your payer that you did not want to amortize the premium on a taxable covered security, then your payer will only report the gross amount of interest paid to you. For a noncovered security acquired at a premium, your payer is only required to report the gross amount of interest paid to you.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement line is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows taxable interest paid to you during the calendar year by the payer. This does not include interest shown in line 3. May also show the total amount of the credits from clean renewable energy bonds, new clean renewable energy bonds, qualified energy conservation bonds, qualified zone academy bonds, qualified school construction bonds, and build America bonds that must be included in your interest income. These amounts were treated as paid to you during 2020 on the credit allowance dates (March 15, June 15, September 15, and December 15). For more information, see Form 8812. See the instructions above for a taxable covered security acquired at a premium.

Line 2. Shows interest or principal forfeited because of early withdrawal of time savings. You may deduct this amount to figure your adjusted gross income on your income tax return. See the instructions for Forms 1040 and 1040-SR to see where to take the deduction. **Line 3.** Shows interest on U.S. Savings Bonds, Treasury bills, Treasury interest, and Treasury notes. This may or may not all be taxable. See Pub. 550. This interest is exempt from state and local income taxes. This interest is not included in line 1. See the instructions above for a taxable covered security acquired at a premium.

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN or you did not furnish the correct TIN to the payer. See Form W-9 and Pub. 505 for more information. Report this amount on your income tax return as tax withheld.

Line 5. Any amount shown on your share of investment expenses of a singleclass REMIC. This amount is included in line 1. Note: This amount is not deductible.

Line 6. Shows foreign tax paid. You may be able to claim this tax as a deduction or a credit on your Form 1040 or 1040-SR. See your tax return instructions.

Instructions for Recipient

Line 7. Shows the country or U.S. possession to which the foreign tax was paid. **Line 8.** Shows tax-exempt interest paid to you during the calendar year by the payer. See how to report this amount in the Instructions for Forms 1040 and 1040-SR. This amount may be subject to backup withholding. See Line 4 above. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 9. Shows tax-exempt interest subject to the alternative minimum tax. This amount is included in line 8. See the instructions for Form 6251. See the instructions above for a tax-exempt covered security acquired at a premium.

Line 10. For a taxable or tax-exempt covered security, if you made an election under section 1278(b) to include market discount in income as it accrues and you notified your payer of the election in writing in accordance with Regulations section 1.6045-1(n)(5), shows the market discount that accrued on the debt instrument during the year while held by you, unless it was reported on Form 1099-OID. For a taxable or tax-exempt covered security acquired on or after January 1, 2015, accrued market discount will be calculated on a constant yield basis unless you notified your payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to make a constant yield election for market discount under section 1278(b). Report the accrued market discount on your income tax return as directed in the Instructions for Forms 1040 and 1040-SR. Market discount on a tax-exempt security is includible in taxable income as interest income.

Line 11. For a taxable covered security (other than a U.S. Treasury obligation), shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the instructions for Form 1040 or 1040-SR to determine if the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the security. If an amount is not reported in this line for a taxable covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 11. If the amount in line 11 is greater than the amount of interest paid on the covered security, see Regulations section 1.171-2(e)(4).

Line 12. For a U.S. Treasury obligation that is a covered security, shows the amount of premium amortization allocable to the interest payment(s), unless you notified the payer in writing in accordance with Regulations section 1.6045-1(n)(5) that you did not want to amortize bond premium under section 171. If an amount is reported in this line, see the Instructions for Schedule B (Form 1040 or 1040-SR) to determine the net amount of interest includible in income on Form 1040 or 1040-SR with respect to the U.S. Treasury obligation. If an amount is not reported in this line for a U.S. Treasury obligation that is a covered security acquired at a premium and the payer is reporting premium amortization, the payer has reported a net amount of interest in line 12. If the amount in line 12 is greater than the amount of interest paid on the U.S. Treasury obligation, see Regulations section 1.171-2(e)(4).

Line 13. For a tax-exempt covered security, shows the amount of premium amortization allocable to the interest payment(s). If an amount is reported in this line, see Pub. 550 to determine the net amount of tax-exempt interest reportable on Form 1040 or 1040-SR. If an amount is not reported in this line for a tax-exempt covered security acquired at a premium, the payer has reported a net amount of interest in line 8 or 9, whichever is applicable. If the amount in line 13 is greater than the amount of interest paid on the tax-exempt covered security, the excess is a nondeductible loss. See Regulations section 1.171-2(g)(4)(ii).

Line 14. Shows CUSIP number(s) for tax-exempt bond(s) on which tax-exempt interest was paid, or tax credit bond(s) on which taxable interest was paid or tax credit was allowed, to you during the calendar year. If blank, no CUSIP number was issued for the bond(s). **Lines 15-17.** State tax withheld reporting lines. Nominees: If this form includes amounts belonging to another person(s), you are considered a nominee recipient. Complete a Form 1099-INT for each of the other owners showing the income allocable to each. File Copy A of the form with the IRS, Form Copy B to each owner. List yourself as the "payer" and the other owner(s) as the recipient. File Form(s) 1099-INT with Form 1096 with the Internal Revenue Service Center for your area. On Form 1096, list yourself as the "filer." A spouse is not required to file a nominee return to show amounts owned by the other spouse. Future developments: For the latest information about developments related to Form 1099-INT and its instructions, such as legislation enacted after they were published, go to www.irs.gov/form1099int.

1099-B Instructions for Recipient
Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting is also required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Line 1. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions. The instructions for Form 8949, and Pub. 550, if box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. **Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1c, 1f, and 2 may be blank. Generally, a noncovered security means stock purchased before 2011, stock in mutual funds purchased before 2012, stock purchased or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on this Form 1099 to satisfy its chapter 4 account reporting requirement. You may also have a filing requirement. See the Instructions for Form 8938. **Applicable checkbox on Form 8949.** Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See the instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.

Line 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For Section 1256 option contracts, "Section 1256 option" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (common), P (preferred), or O (other).

Line 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date you acquired the security delivered to close the short sale.

Line 1c. Shows the trade date of the sale or exchange. For short sales, the date shown in boxes 8 through 11, no entry will be present.

Line 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a widely held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer of property to a foreign corporation. Losses on forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the Instructions for Schedule D (Form 1040 or 1040-SR).

Line 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the Instructions for Form 8949, the Instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see the Schedule D (Form 1040 or 1040-SR) instructions. The instructions for Form 8949, and Pub. 550, if box 5 is checked, box 1f may be blank.

Line 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see the Schedule D (Form 1040 or 1040-SR) instructions, the instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank. **Line 2.** The short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than capital gain. See the Instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Line 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Line 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your TIN to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Line 5. If checked, the securities sold were noncovered securities and boxes 1b, 1c, 1f, and 2 may be blank. Generally, a noncovered security means stock purchased before 2011, stock in mutual funds purchased before 2012, stock purchased or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Instructions for Recipient

Line 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.

Line 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Line 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Line 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.

Lines 14-16. Show state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.

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ROBINHOOD CRYPTO LLC
85 WILLOW ROAD
MENLO PARK, CA 94025

JOHN STEELE
1841 MAE VALLEY RD NE
MOSES LAKE, WA 98837

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Robinhood Crypto LLC 85 WILLOW ROAD MENLO PARK, CA 94025 Customer Service: 844-428-5411	Account 574657375C John Steele 1841 Mae Valley Rd NE Moses Lake, WA 98837	Form 1099-B* Statement Date: 02/01/2021 Proceeds from Broker and Barter Exchange Transactions Copy B for Recipient
PAYER'S Federal ID No: 46-4364776		OMB no. 1545-0715
RECIPIENT'S ID No: XXX-XX-5031		

The following information is being provided to facilitate your review and the preparation of your tax return.

This Form 1099-B – Proceeds from Broker and Barter Exchange Transactions only provides information with respect to cryptocurrency-related transactions in your account with us, and you will receive a separate Form 1099 if you have executed stock or securities trades through Robinhood during the year.

The cryptocurrency sales transactions on this Form 1099-B are not being reported to the IRS as covered securities. As such, certain information such as cost basis is not being transmitted to the IRS and is only provided to you for information purposes. Information which we are not providing to the IRS with Form 1099-B is further detailed in the transactions sections below. Remember, taxpayers are ultimately responsible for the accuracy of their tax returns.

For tax lots whose term is undetermined, you may need to use your historical documents to establish the cost basis and date of purchase. For purposes of providing you with cost basis information and calculating gain or loss, unless otherwise noted, it was assumed that the oldest position was sold first. However, there is no assurance that the IRS will agree with this approach, and you may wish to consult with your tax advisor regarding your reporting of cryptocurrency transactions.

If applicable, we are not reporting your receipt of forked cryptocurrency as sales with this Form 1099-B, and we note that there is substantial uncertainty as to how the receipt of forked cryptocurrency should be treated for tax purposes. For purposes of determining gain or loss on the sale of cryptocurrency that has been subject to a fork or received in a fork, if applicable, and for information purposes, we provide an adjusted cost basis in the cryptocurrency such that the cost basis prior to the fork is apportioned between the original, pre-forked coin and the new, post-forked coins based on the fair market of each coin following the fork event. However, there is no assurance that the IRS will agree with this approach. Again, you may wish to consult with your tax advisor on tax rules relating to cryptocurrency events such as forks and trade transactions, as individual circumstances may vary.

FATCA filing requirement []

SHORT TERM TRANSACTIONS FOR NONCOVERED TAX LOTS

Report on Form 8949, Part I with Box B checked.

Box 2: Type of Gain or Loss - Short-Term (unless indicated as Ordinary in Additional Notes Column)

Box 5: Box Checked (Noncovered Security) Box 6: Gross (unless indicated as Net in Additional Notes Column) Box 12: Basis Not Reported to the IRS

The 1099-B data referenced by a Box Number is reported to the IRS. The additional information not referenced by a Box Number is not reported to the IRS but may be helpful to complete your return.

Symbol	1c - Date Sold or Disposed	Quantity	1d - Proceeds	Date Acquired	Cost or Other Basis	4 - Federal Income Tax Withheld	Gain or Loss (-)	Additional Notes
BTCUSD	07/07/2020	0.02	185.43	Various	145.86	0.00	39.57	Sale
	07/07/2020	0.1286682	1,189.77	Various	1,054.13	0.00	135.64	Sale
Security Totals:			1,375.20		1,199.99		175.21	
Totals:			1,375.20		1,199.99		175.21	

* This is important tax information and is being furnished to the Internal Revenue Service. If you are required to file a return, a negligence penalty or other sanction may be imposed on you if this income is taxable and the IRS determines that it has not been reported. Taxpayers are reminded that they are ultimately responsible for the accuracy of their tax returns.

Common Instructions for Recipient

Recipient's taxpayer identification number (TIN). For your protection, this form may show only the last four digits of your TIN (social security number (SSN), individual taxpayer identification number (ITIN), adoption taxpayer identification number (ATIN), or employer identification number (EIN)). However, the issuer has reported your complete TIN to the IRS.

FATCA filing requirement. If the FATCA filing requirement box is checked, the payer is reporting on Form 1099 to satisfy its chapter 4 account reporting requirement. You also may have a filing requirement. See the Instructions for Form 8938.

Account number. May show an account or other unique number the payer assigned to distinguish your account.

Backup withholding. Shows backup withholding. A payer must backup withhold on certain payments if you did not give your TIN to the payer. See Form W-9, Request for Taxpayer Identification Number and Certification, for more information on backup withholding. Include this amount on your income tax return as tax withheld.

Note: If this form includes amounts belonging to another person, you are considered a nominee recipient. You must file as the "payer" the respective Form 1099 (DIV, INT, or OID) Copy A (with a Form 1096) to the IRS for each of the other owners as recipient(s) to show their allocable share of the income and you must furnish the respective Copy B Form(s) and amounts to each owner. A spouse is not required to file a nominee return to show amounts owned by the other spouse. See the 2020 General Instructions for Certain Information Returns.

Keep tax documents for your records.

1099-B Instructions for Recipient
Brokers and barter exchanges must report proceeds from (and in some cases, basis for) transactions to you and the IRS on Form 1099-B. Reporting also is required when your broker knows or has reason to know that a corporation in which you own stock has had a reportable change in control or capital structure. You may be required to recognize gain from the receipt of cash, stock, or other property that was exchanged for the corporation's stock. If your broker reported this type of transaction to you, the corporation is identified in box 1a.

CUSIP number. Shows the CUSIP (Committee on Uniform Security Identification Procedures) number or other applicable identifying number.
Applicable checkbox on Form 8949. Indicates where to report this transaction on Form 8949 and Schedule D (Form 1040 or 1040-SR), and which checkbox is applicable. See instructions for your Schedule D (Form 1040 or 1040-SR) and/or Form 8949.
Box 1a. Shows a brief description of the item or service for which amounts are being reported. For regulated futures contracts and forward contracts, "RFC" or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (Common), P (Preferred), or O (Other), or other appropriate description may be shown. For a corporation that had a reportable change in control or capital structure, this box may show the class of stock as C (Common), P (Preferred), or O (Other).

Box 1b. This box may be blank if box 5 is checked or if the securities sold were acquired on a variety of dates. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.

Box 1c. Shows the trade date of the sale or exchange. For short sales, the date shown is the date the security was delivered to close the short sale. For aggregate reporting in boxes 8 through 11, no entry will be present.
Box 1d. Shows the cash proceeds, reduced by any commissions or transfer taxes related to the sale, for transactions involving stocks, debt, commodities, forward contracts, non-Section 1256 option contracts, or securities futures contracts. May show the proceeds from the disposition of your interest(s) in a publicly held fixed investment trust. May also show the aggregate amount of cash and the fair market value of any stock or other property received in a reportable change in control or capital structure arising from the corporate transfer property to a foreign corporation. Losses from forward contracts or non-Section 1256 option contracts are shown in parentheses. This box does not include proceeds from regulated futures contracts or Section 1256 option contracts. Report this amount on Form 8949 or on Schedule D (Form 1040 or 1040-SR) (whichever is applicable) as explained in the instructions for Schedule D (Form 1040 or 1040-SR).

Box 1e. Shows the cost or other basis of securities sold. If the securities were acquired through the exercise of a noncompensatory option granted or acquired on or after January 1, 2014, the basis has been adjusted to reflect your option premium. If the securities were acquired through the exercise of a noncompensatory option granted or acquired before January 1, 2014, your broker is permitted, but not required, to adjust the basis to reflect your option premium. If the securities were acquired through the exercise of a compensatory option, the basis has not been adjusted to include any amount related to the option that was reported to you on a Form W-2. If box 5 is checked, box 1e may be blank. See the instructions for Schedule D (Form 1040 or 1040-SR), or Pub. 550 for details.

Box 1f. Shows the amount of accrued market discount. For details on market discount, see Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1f may be blank.

Box 1g. Shows the amount of nondeductible loss in a wash sale transaction. For details on wash sales, see Schedule D (Form 1040 or 1040-SR) instructions, the Instructions for Form 8949, and Pub. 550. If box 5 is checked, box 1g may be blank.
Box 2. This short-term and long-term boxes pertain to short-term gain or loss and long-term gain or loss. If the "Ordinary" box is checked, your security may be subject to special rules. For example, gain on a contingent payment debt instrument subject to the noncontingent bond method generally is treated as ordinary interest income rather than as capital gain. See the instructions for Form 8949, Pub. 550, or Pub. 1212 for more details on whether there are any special rules or adjustments that might apply to your security. If box 5 is checked, box 2 may be blank.

Box 3. If checked, proceeds are from a transaction involving collectibles or from a Qualified Opportunity Fund (QOF).

Box 4. Shows backup withholding. Generally, a payer must backup withhold if you did not furnish your taxpayer identification number to the payer. See Form W-9 for information on backup withholding. Include this amount on your income tax return as tax withheld.

Box 5. If checked, the securities sold were noncovered securities and boxes 1b, 1e, 1f, 1g, and 2 may be blank. Generally, a noncovered security means: stock purchased before 2011, stock in most mutual funds purchased before 2012, stock purchased in or transferred to a dividend reinvestment plan before 2012, debt acquired before 2014, options granted or acquired before 2014, and securities futures contracts entered into before 2014.

Box 6. If the exercise of a noncompensatory option resulted in a sale of a security, a checked "net proceeds" box indicates whether the amount in box 1d was adjusted for option premium.
Box 7. If checked, you cannot take a loss on your tax return based on gross proceeds from a reportable change in control or capital structure reported in box 1d. See the Form 8949 and Schedule D (Form 1040 or 1040-SR) instructions. The broker should advise you of any losses on a separate statement.

Regulated Futures Contracts, Foreign Currency Contracts, and Section 1256 Option Contracts (Boxes 8 Through 11)
Box 8. Shows the profit or (loss) realized on regulated futures, foreign currency, or Section 1256 option contracts closed during 2020.
Box 9. Shows any year-end adjustment to the profit or (loss) shown in box 8 due to open contracts on December 31, 2019.

Box 10. Shows the unrealized profit or (loss) on open contracts held in your account on December 31, 2020. These are considered closed out as of that date. This will become an adjustment reported as unrealized profit or (loss) on open contracts—12/31/2020 in 2021.

Box 11. Boxes 8, 9, and 10 are all used to figure the aggregate profit or (loss) on regulated futures, foreign currency, or Section 1256 option contracts for the year. Include this amount on your 2020 Form 6781.

Box 12. If checked, the basis in box 1e has been reported to the IRS and either the short-term or the long-term gain or loss box in box 2 will be checked. If box 12 is checked on Form(s) 1099-B and NO adjustment is required, see the instructions for your Schedule D (Form 1040 or 1040-SR) as you may be able to report your transaction directly on Schedule D (Form 1040 or 1040-SR). If the "Ordinary" box in box 2 is checked, an adjustment may be required.

Box 13. Shows the cash you received, the fair market value of any property or services you received, and the fair market value of any trade credits or scrip credited to your account by a barter exchange. See Pub. 525.
Boxes 14–16. Shows state(s)/local income tax information.

Future developments. For the latest information about any developments related to Form 1099-B and its instructions, such as legislation enacted after they were published, go to www.irs.gov/Form1099B.