

2021 US RegTech Roadshow February 22-26



 **BUSINESS
IS
GREAT**
BRITAIN & NORTHERN IRELAND

Table of Contents

Company Profiles

3. Acin
4. Amplyfi
5. AutoRek
6. ComplyAdvantage
7. Datactics
8. Finreg-E
9. FNA
10. Solidatus
11. Suade
12. TAINA
13. About the Organizers

About the Roadshow

The UK's Department for International Trade is pleased to present ten leading RegTechs from the UK. Over the course of a week, these 10 companies will virtually tour the United States where they'll engage with industry stakeholders, regulators, and potential partners.

Each company in this cohort has achieved great success domestically and abroad in cultivating a strong client base and a reputation of excellence in helping the financial services industry manage risk, compliance, and regulatory change. Each company has been certified growth stage by DIT meaning they met at least three of the following qualifications: >10 employees, a minimum annual revenue of £1 million, possess an enterprise-ready solution, and service existing clients in both the UK and US market.

Key Contacts

For more information about the Roadshow, or to set up an appointment with any of the companies listed, please contact:

Cammila Garcia

Vice Consul, Financial Services

E-mail:

Cammila.Garcia@mobile.trade.gov.uk

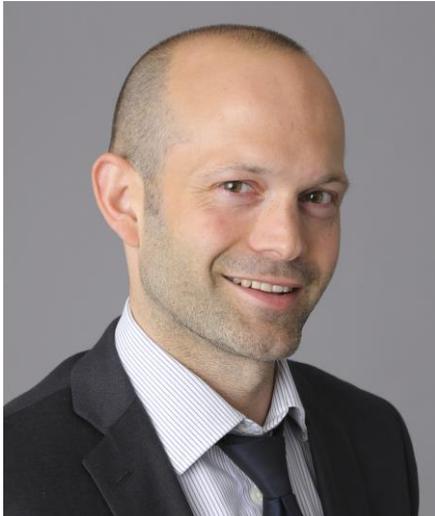
Hayden Boilini

Associate, Financial Services

E-mail:

Hayden.Boilini@mobile.trade.gov.uk

Acin



Lee Edge
Head of Strategic Alliances &
Partnership
lee.edge@acin.com

Meet the Company:

Acin is an award-winning SaaS platform that revolutionizes management of non-financial risk and controls data. All non-financial risk and related data is fully digitized. The Acin Network enables you and your peers to share operational risk data and learn from each other – improving effectiveness and reducing cost for the industry as a whole. Today, ten of the world's largest global banks are members of the Acin Network including Standard Chartered, Credit Suisse and Société Générale.

Acin recently secured \$12 million in series A funding led by Fitch Ventures, the equity investment arm of Fitch Group, and Notion Capital, a leading European SaaS investor.

Objectives for the Roadshow:

Our DIT Roadshow participation will enable us to grow the Acin Network and increase confidence in risk-based decision making, industry wide. We are looking forward to engaging with US-based customers and partners, and would also welcome the opportunity to connect with the regulatory bodies that govern the US financial services sector. We also hope to meet journalists interested in reporting on RegTech and RiskTech solutions.



Nicola Cowburn
Marketing Lead
Nicola.cowburn@acin.com

More Information:

www.acin.com

HQ: London, England

AmPLYfi



Chris Ganje
Founder & CEO
chris.ganje@amplyfi.com



Matthew Heim
Head of Business Development,
North America
matthew.heim@amplyfigroup.com

Meet the Company:

AmPLYfi's Insights Automation Platform powers decision making in global organizations like BP, NatWest, BNP Paribas, US Air Force and Stanford University. Our platform uses AI driven data science and machine learning to read and analyze global news, patents, papers and documents that are available across the internet, helping organizations react faster to disruption, and to change with conviction.

AmPLYfi has raised in excess of £10m over the last three years. The most recent round, a series A+, was completed in March 2020 following the acquisition of US based Deep Web Technologies, and was led by QBN Capital. AmPLYfi is currently targeting a series B round for H1 of 2022.

Objectives for the Roadshow:

AmPLYfi's objective is to connect with US Investment & Banking Leaders who are interested in leveraging Insights Automation to improve the speed & quality of their organizations' decision making. We are seeking proof of concept engagements that enable us to demonstrate the value of our platform, as we have already done with partners across the UK Banking, Manufacturing, Defense, and Academic sectors.

More Information:

www.amplyfi.com

HQ: Cardiff, Wales

AutoRek



Mark McCarthy
SVP of International Sales &
Partnerships
marc.mccarthy@autorek.com

Meet the Company:

AutoRek is a global provider of financial controls, data management and regulatory reporting software. Implemented in many of the world's largest organisations, our range of deployments vary from high volume data migrations, elimination of manual processes and spreadsheets, regulatory reporting, reduction in fast close processes, to mitigation of operational and regulatory risk. AutoRek works in conjunction with existing systems to complete and perfect financial and operational control regimes. AutoRek's suite of solutions help firms overcome spreadsheet intensive data management and reporting processes, ensuring ongoing control and regulatory compliance.

Founded in 1994 as an information and data management consultancy, the company first deployed the AutoRek solution in 1998. The firm is headquartered in Glasgow, Scotland, with additional offices in Edinburgh, London, and recently New York.

Objectives for the Roadshow:

AutoRek is currently expanding its footprint in the United States and is looking for new clients and partners.

More Information:

www.autorek.com

HQ: Glasgow, Scotland

ComplyAdvantage

Comply Advantage

**Gary Lisa**

Head of Americas, Sales

gary.lisa@complyadvantage.com**Will Davies**

Director, AML Solutions

will.davies@complyadvantage.com***Meet the Company:***

ComplyAdvantage is the financial industry's leading source of AI-driven financial crime risk data and detection technology. ComplyAdvantage's mission is to neutralize the risk of money laundering, terrorist financing, corruption, and other financial crime. More than 500 enterprises in 75 countries rely on ComplyAdvantage to understand the risk of who they're doing business with through the world's only global, real-time database of people and companies. ComplyAdvantage has four global hubs located in New York, London, Singapore and Cluj-Napoca. The company completed a Series C in July 2020 backed by Ontario Teachers', Index Ventures, and Balderton Capital.

Clients include Robinhood, Paxos, Binance, OakNorth Bank, and Santander UK.

Objectives for the Roadshow:

ComplyAdvantage is interested in continuing to work with leading fintechs and financial institutions across the United States. ComplyAdvantage looks forward to working with DIT to discuss innovation in RegTech, specifically, innovation in AML risk data.

More Information:www.complyadvantage.com

HQ: London, England

Datactics

DATACTICS™



Kieran Buchanan
Business Development Executive
kieran.buchanan@datactics.com



Matt Flenley
Marketing Manager
Matt.flenley@datactics.com

Meet the Company:

Datactics specialises in class-leading, self-service data quality and matching software to aid compliance with financial regulation, facilitate KYC & AML processes, eliminate roadblocks in data management, and add value to enterprise data. Backed by our team of experienced data scientists, our platform integrates seamlessly with Data Lineage and Governance tools. It gives CDOs and data stewards transparency into data quality, and SMEs and data analysts powerful, yet user-friendly tools to explore, measure, transform, fix and enrich data within a scalable enterprise grade platform, on premises or in the cloud. Clients include Danske Bank, the Bank of Ireland and several other Tier 1 banks.

Datactics has raised £2.2m to date. The company's most recent funding round closed in May 2019 with £1.2m.

Objectives for the Roadshow:

We're interested in exploring rapid-timeframe POCs with US financial services firms and identifying potential in-market partner delivery firms.

More Information:

www.datactics.com

HQ: Belfast, Northern Ireland

Finreg-E



Rohini Gupta
Co-Founder and Lead
Regulatory Advisor
Rohini.gupta@finreg-e.com



Amit Madahar
Chief Technology Officer
Amit.madahar@finreg-e.com

Meet the Company:

Finreg-E is a highly trusted regtech and supotech solution that provides unique machine learning and artificial intelligence based regulatory compliance solutions to manage financial regulation. Using web-scraping and NLP techniques such as text mining, text classification, topic modelling and semantics, Finreg-E automates compliance by providing:

- Real-time regulatory change monitoring;
- Extraction of regulatory obligations from rules for automated interpretation;
- Mapping of regulatory obligations to internal compliance policies and controls for automated compliance checks;
- Digital rule networks and maps with interactive visualisations for better understanding rules landscape;
- Digital compliance workflows for managing compliance;
- Digital regulatory reporting.

Objectives for the Roadshow:

We are looking to expand into the US in the near future and our aims include meeting with influential stakeholders in the market including carriers, brokers, regulators, investors and other small businesses in the flourishing US ecosystem.

More Information:

www.finreg-e.com

HQ: London, England

FNA

Dave Sissens
Chief Solutions Officer
dave@fna.fi



Mohsen Namazi
Managing Director,
North America
mohsen@fna.fi

Meet the Company:

FNA is a deep technology firm specializing in advanced network analytics and simulations. Our software solutions allow financial institutions and authorities to gain insights from massive and complex datasets, and to optimise their systems to save costs. These include:

- Using a data driven network analytic approach to simulate and identify the optimal configuration of a bank's treasury system payments.
- Enabling FMIs to optimize their design and quickly respond to regulatory requirements, modernization programmes and new market entrants such as CBDCs.
- Enabling supervisors to rapidly prototype and operationalize large data sets to better manage risks within the financial system.

Our clients include CLS Group, Intercontinental Exchange, US Dept of Treasury, Payments Canada, and Fnalty International. FNA completed its Series A funding in 2019 - and is looking to complete a Series B round in the second half of 2021.

Objectives for the Roadshow:

In 2021, FNA is looking to expand its presence in North America by growing its client portfolio and establishing new partnerships with banks, supervisors and financial market infrastructures, and other technology firms.

More Information:

www.fna.fi

HQ: London, England

Solidatus



Philip Dutton
Co-Founder & Co-CEO
philip.dutton@solidatus.com

Meet the Company:

Solidatus, one of the most influential and critical new data management software solutions, is a rapidly growing UK RegTech that is actively expanding into the US and across all industry verticals. Data is critical to all organizations. Our lineage-led software allows organizations' key asset – data – to be efficiently mapped, managed and monetized, reducing complexity and risk through visualization, transparency, automation and collaboration. We enable the world's largest data-rich and regulated organizations to effectively manage their data, people and processes. The platform plays a critical role across a growing number of client initiatives, including digital transformation, data governance, cloud migration and regulatory reporting.

Clients include Citi, HSBC, and Transcard among others. Solidatus closed a Series A in January 2021 with plans for a Series B in 12-24 months.

Objectives for the Roadshow:

Solidatus is accelerating its growth into new territories in 2021 and the USA is a major part of that expansion with the opening of our US Headquarters in Houston, Texas, and satellite offices on the East and West Coasts. We are interested to in meeting new partners and clients who can help us on this journey.

More Information:

www.solidatus.com

HQ: London, England

Suade



Alex Low,
Head of Operations & Strategy
alex@suade.org



David Hulmes,
Head of Global Success
david@suade.org

Meet the Company:

Suade's benchmarked software is bridging the regulatory gap through modern technology enabling financial institutions to understand and deliver their regulatory requirements efficiently. Our approach to data and use of innovative technologies such as Natural Language Processing and AI to turn regulation into code has been recognised by regulators and authorities around the world. We combine practical banking experience with legal and operational expertise while leveraging on academic research to create an optimized solution.

Suade Labs works closely with the World Economic Forum and is a proud member of the Global Future Council, a network dedicated to promoting innovative thinking to shape a more resilient and sustainable future. Our clients include financial institutions across the globe ranging from tier 1, 2 and 3 banks, to challenger banks and investment firms.

Objectives for the Roadshow:

Suade is looking to build its network and expand operations in the US. With offices already in the UK, France, and Singapore we are focused on scaling up, and delivering on our mission to find common data standards for financial institutions worldwide, with a goal to improve operational efficiencies within compliance and regulatory departments.

More Information:

www.suade.org

HQ: London, England

TAINA Technologies



Maria Scott
Founder & CEO
maria.scott@taina.tech

Meet the Company:

TAINA is a regulatory technology business empowering financial institutions to automate and streamline their compliance with FATCA and CRS, saving over 84% in costs, reducing operational risks and dramatically improving customers' experience. Our customers include some of the world's largest financial institutions (sell-side and buy-side), online trading platforms and fund administrators.

TAINA won Chicago Booth Global New Venture Challenge and has since been recognised as one of the world's most innovative Regulatory Technology companies for 5 years running, and one of the top FinTech businesses to watch by HM Treasury-backed Tech Nation.

Objectives for the Mission:

We're looking to meet potential US clients and partners, gain a better understanding of the market, and continue to grow our US presence.

More Information:

www.taina.tech

HQ: London, England

About the Organisers

About the UK's Department for International Trade (DIT)

With professional advisers, both within the UK and across more than 100 international markets, DIT is the Government Department that helps UK-based companies succeed in the global economy and assists overseas companies to bring high-quality investment to the UK. The government recently set out its long-term [Export Strategy](#) to increase total exports as a proportion of GDP from the current 30% to 35%, and a new Foreign Direct Investment (FDI) strategy reinforcing its current position as the No. 1 destination in Europe for FDI.

For media enquiries, contact:
Alex Stevenson
British Consulate-General New York
alex.stevenson@fcdo.gov.uk

For information about the Roadshow, contact:
Hayden Boilini
UK Department for International Trade
hayden.boilini@mobile.trade.gov.uk



Department for
International Trade

[gov.uk/dit](https://www.gov.uk/dit)

© Crown copyright 2019

You may re-use this information free of charge in any format or medium, strictly in accordance with the terms of the Open Government Licence.

To view this licence, visit:

www.nationalarchives.gov.uk/doc/open-government-licence

or e-mail: psi@nationalarchives.gsi.gov.uk.

Where we have identified any third party copyright information in the material that you wish to use, you will need to obtain permission from the copyright holder(s) concerned.